

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty Docket No. A01020B

In re application of: Palli et al.

Serial No.: 09/965,703



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JUL 11 2002

Group Art Unit: 1645 TECH CENTER 1600/2900

Filed: September 26, 2001

Examiner: Unassigned

For: NOVEL ECDYSONE RECEPTOR-
BASED INDUCIBLE GENE EXPRESSION
SYSTEM

Commissioner for Patents
Washington, DC 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with applicant(s)' duty of disclosure under 37 C.F.R. § 1.56(a) and pursuant to 37 C.F.R. § 1.97 - 1.98, Applicants submit herewith copies of the documents listed on the enclosed Form, PTO-1449 "List of Art Cited by Applicant." Inclusion of a document in this Information Disclosure Statement is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 C.F.R. § 1.56(a) exists.

The Examiner may find the enclosed documents relevant to the invention of the present application.

In accordance with 37 CFR §1.98(a)(2), a copy of each item listed on the enclosed Form, PTO-1449, is included herewith. Except where noted, each of the items listed are provided in the English language.

CERTIFICATION

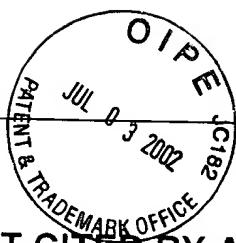
The additional references cited herewith were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

Camille Jolly-Tornetta

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July 3, 2002
Rohm and Haas Company
100 Independence Mall West
Philadelphia, PA 19106-2399



Sheet 1 of 1

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LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

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Serial No. 09/965,703

Applicant Palli et al.

Filing Date September 26, 2001

Group 1645

JUL 11 2002

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U.S. Patent Documents

| EXAMINER INITIALS | DOCUMENT NUMBER | DATE | NAME | Class/Subclass | FILING DATE IF APPROPRIATE |
|-------------------|-----------------|------|------|----------------|----------------------------|
| AA | | | | | |
| AB | | | | | |
| AC | | | | | |
| AD | | | | | |
| AE | | | | | |
| AF | | | | | |
| AG | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | Class/Subclass | Translation Yes/No |
|----|-----------------|------------|---------|----------------|--------------------|
| AH | WO 96/27673 | 09/12/1996 | PCT | | |
| AI | WO 99/10510 | 03/04/1999 | PCT | | |
| AJ | EP 0798378 | 10/01/1997 | EP | | |
| AK | EP 0965644 | 12/22/1999 | EP | | |
| AL | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)

| | |
|----|---|
| AM | Kakizawa, Tomoko et al., 1997, Ligand-dependent heterodimerization of thyroid hormone receptor and retinoid X receptor, Journal of Biological Chemistry 272: 23799-23804. |
| AN | Perera, Srinivas et al., 1999, An analysis of ecdysone receptor domains required for heterodimerization with ultraspiracle, Archives of Insect Biochemistry and Physiology 41: 61-70. |
| AO | Leid M et al., 1992, Purification, cloning, and RXR identity of the HeLa cell factor with which RAR or TR heterodimerizes to bind target sequences efficiently, Cell 68: 377-395. |
| AP | Perera, Srinivas et al., 1999, Studies on two ecdysone receptor isoforms of the spruce budworm, Choristoneura fumiferana, Molecular and Cellular Endocrinology 152: 73-84. |
| AQ | Koelle MR et al., The Drosophila EcR gene encodes an ecdysone receptor, a new member of the steroid receptor superfamily, Cell 67: 59-77. |

Examiner

Date Considered

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

07-05-02

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CERTIFICATE OF MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on the date indicated next to my signature below:

Supplemental Information Disclosure Statement (2 pgs.)

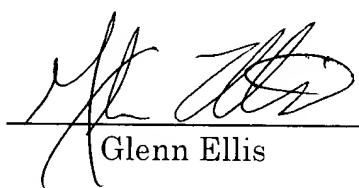
Form 1449 (1 pg.)

Copies of 9 Cited References

Copy of International Search Report

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Date


Glenn Ellis